



**Form ADV Part 2B  
Brochure Supplement**

**Andrew Paul Smith**

**Office Address**

PO Box 007  
Trumansburg, NY 14886  
Telephone: (607) 216-7636

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**Item 1 – Cover Page**

This Brochure Supplement provides information about Andrew Paul Smith that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy of the Firm Brochure at [www.forumfinancial.com](http://www.forumfinancial.com). The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about Andrew Paul Smith is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

**Full Legal Name:** Andrew Paul Smith  
**Individual CRD #:** 8051470  
**Born:** 1996

### Education Background:

- Cornell University: Bachelor of Science (BS), Industrial and Labor Relations – 2018

### Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 3/2025 to Present
- Cornell University: Assistant Director, Global Operations – 11/2023 to Present
- Florae Collaborative LLC: Founder and Operations Manager – 3/2020 to 11/2023

### Professional Designations, Certifications, & Exams:

- Uniform Investment Adviser Law Examination (Series 65 Exam)

Please refer to [Appendix](#) for definitions of the above.

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## Item 3 – Disciplinary Information

Andrew has no reportable legal or disciplinary history.

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## Item 4 – Other Business Activities

Andrew is an Assistant Director, Global Operations at Cornell University, which accounts for 90% of his time. The compensation received by Andrew in this capacity is separate and apart from the fees clients pay Forum for advisory services. Clients should be aware that the receipt of additional compensation by Andrew in this outside business activity creates a conflict of interest.

Please refer to “Item 10 – Other Financial Industry Activities and Affiliations” of Forum’s Firm Brochure for complete disclosure of the above referenced outside business activities.

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## Item 5 – Additional Compensation

Andrew receives additional compensation as a result of his other business activities disclosed in Item 4 above but does not otherwise receive any economic benefit for

investment advisory or investment-related services beyond his role at Forum.

Please also refer to "Item 5 – Fees and Compensation" and "Item 14 – Client Referrals and Other Compensation" of Forum’s Firm Brochure for additional disclosures on this topic.

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## Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum’s compliance program is overseen by Forum’s Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

### Allison Tronnes

Chief Compliance Officer  
(630) 873-8512

[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

### Nikki Hopson

Advisor Development and Supervision  
(630) 376-4367

[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

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## Appendix

### Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.