



**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

Shequeta Jones Rice

Office Address

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Alvin, TX 77511
Telephone: (630) 613-8027

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: www.forumfinancial.com.

This brochure supplement provides information about Shequeta Jones Rice that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Shequeta Jones Rice is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Shequeta Jones Rice

Born: 1988

Education Background:

- Northern Illinois University: Master of Business Administration (MBA), International Management – 2012
- Southern Illinois University – Carbondale: Bachelor of Science (BS), Finance – 2010

Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 8/2023 to Present
- General Motors: Regional Customer Experience Manager – 6/2021 to 6/2023
- General Motors: Cadillac Business Resource Manager – 8/2019 to 6/2021
- General Motors: CCA Rotational Program – Financial Analyst and Sales Marketing Specialist – 10/2016 to 8/2019
- General Motors: District Manager Aftersales – 10/2014 to 10/2016
- General Motors: District Manager Sales and Aftersales – 7/2013 to 10/2014

Professional Designations, Certifications, and Exams:

- Uniform Investment Adviser Law Examination (Series 65 Exam), 2023

Please refer to Part 2B Appendix 1 for definitions of the above.

Item 3 – Disciplinary Information

Ms. Rice has no reportable disciplinary history.

Item 4 – Other Business Activities

Ms. Rice is not engaged in any other business or occupation beyond her role at Forum.

Item 5 – Additional Compensation

Ms. Rice does not receive any additional compensation beyond her role at Forum.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson

Advisor Development and Supervision

(630) 376-4367

nhopson@forumfinancial.com

Allison Tronnes

Chief Compliance Officer

(630) 873-8512

atronnes@forumfinancial.com

Item 7 – Part 2B Appendix 1

Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.