



FORM ADV PART 2B
BROCHURE SUPPLEMENT

Samuel Robinson Williams

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This brochure supplement provides information about Samuel Robinson Williams that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Samuel Robinson Williams (CRD # 6070713) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Full Legal Name: Samuel Robinson Williams

Education Background:

- James Madison University - Harrisonburg, VA, BA Finance, 2012

Business Experience:

- Forum Financial Management, LP, Investment Adviser Representative, 8/2021 - Present
- CG Financial Services of Virginia LLC, Financial Advisor, 1/2018 - 6/2021
- DFC Investment and Retirement Strategies, DBA, 1/2018 - 6/2021
- Geneos Wealth Management Inc., Registered Representative, 1/2018 - 2/2020
- Northwestern Mutual Wealth Management Company, Representative, 3/2017 - 1/2018
- Northwestern Mutual Investment Services, LLC, Registered Representative, 9/2012 - 1/2018
- Northwestern Mutual Life Insurance Company, Agent, 6/2012 - 1/2018

Designation(s)/Certification(s):

Samuel Robinson Williams has earned the following designation(s)/certification(s) and is in good standing with the granting authority:

- Series 65 - Uniform Investment Adviser, 2019
- Insurance Producer-Life and LTC, 2021

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Samuel Robinson Williams has no required disclosures under this item.

Item 4 Other Business Activities

Samuel Robinson Williams is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Williams for insurance-related activities. This presents a conflict of interest because Mr. Williams may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Williams receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Forum Financial Management, LP's firm brochure for additional disclosures on this topic.

Item 6 Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson
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(630) 376-4367
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Chief Compliance Officer
(630) 873-8512
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