



FORM ADV PART 2B  
BROCHURE SUPPLEMENT

**Marie E. Stark**

**OFFICE ADDRESS:**  
132 Mohawk Street  
Cohoes, NY 12047  
Telephone: 518-237-3641

**Forum Financial Management, LP**

1900 S. Highland Ave.  
Suite 100  
Lombard, IL 60148  
Telephone: 630-873-8520

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This brochure supplement provides information about Marie E. Stark that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Marie Elizabeth Stark (CRD # 3277754) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Marie Elizabeth Stark

*Year of Birth:* 1965

#### *Formal Education After High School:*

- Siena College, BBA Accounting, 09/1983 - 05/1987

#### *Business Background:*

- Forum Financial Management, LP, Partner, 01/2021 - Present
- Forum Financial Management, LP, Investment Adviser Representative, 09/2016 - Present
- Stark & D'Aprile CPAs PC, CPA, President, 12/2022 - Present
- Stark & Basila CPAs PC, CPA, President, 11/2012 - 11/2022
- Purshe Kaplan Sterling Investments, Registered Representative, 09/2016 - 10/2020
- Wilson, Stark & Basila CPAs, PC, CPA, Vice President, 05/1986 - 10/2012.
- Cetera Financial Specialists, LLC, Registered Representative, 1/2000 - 08/2016
- Cetera Investment Advisers, LLC, Investment Adviser Representative, 01/2000 - 08/2016

#### *Designations/Certifications:*

- Series 66 - Investment Company and Variable Contracts Products, 1999
- Certified Public Accountant (CPA), 1989

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Marie Elizabeth Stark has no required disclosures under this item.

## Item 4 Other Business Activities

Marie Stark is also a CPA and President of Stark & D'Aprile, CPAs PC, a certified public accounting firm. Clients of our firm may also be clients of Stark & D'Aprile, CPAs PC. The services provided and compensation received by Ms. Stark and Stark & D'Aprile, CPAs PC for accounting-related activities are separate and distinct from any fees paid for advisory services provided by our firm. While we believe that's fees are competitive, such fees may be higher than those charged by other firms providing the same or similar services. Ms. Stark allocates approximately 40% of her professional time to this outside business activity.

Ms. Stark is an independent insurance agent. Ms. Stark's insurance clients may also be clients of Forum Financial Management, LP. The fees earned by Ms. Stark from her insurance activities are separate and apart from the fees you pay our firm for advisory services. Ms. Stark's outside business activity presents a conflict of interest because she may have an incentive to recommend insurance products to you for the purpose of generating fees and/or commissions. Ms. Stark allocates less than 10% of her professional time as an insurance agent.

## Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Ms. Stark's receipt of additional compensation as a result of her other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Forum Financial Management, LP's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson  
Manager, Advisor Development and Supervision  
(630) 376-4367  
[nhopson@forumfin.com](mailto:nhopson@forumfin.com)

Allison Tronnes  
Chief Compliance Officer  
(630) 873-8512  
[atronnes@forumfin.com](mailto:atronnes@forumfin.com)

## Item 7 Part 2B Appendix 1

**Certified Public Accountant (CPA)** - CPA's are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.