



**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

Ngoc ("Naya") Sou

OFFICE ADDRESS:

P.O. BOX 128
Lansing, NY 14882
Telephone: 607-339-1211

Forum Financial Management, LP

1900 S. Highland Ave.
Suite 100
Lombard, IL 60148
Telephone: 630-873-8520

October 11, 2022

This brochure supplement provides information about Ngoc ("Naya") Sou that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Ngoc ("Naya") Sou (CRD # 7618265) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Full Legal Name: Ngoc The Sou

Education Background:

- Rutgers, The State University of New Jersey, New Brunswick, NJ, BS Geology, 2008
- Pace University, New York, NY, MS Adolescence Education, 2011

Business Experience:

- Forum Financial Management, LP, Investment Adviser Representative, 8/2022 - Present
- Cornell University, Academic Advisor, 8/2017 - 7/2022
- Virginia Tech, Academic Advisor, 11/2011 - 8/2017

Designations/Certifications:

- NASAA Series 65 - Uniform Investment Advisor Law Examination; 2022

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Ngoc ("Naya") Sou has no required disclosures under this item.

Item 4 Other Business Activities

Ngoc ("Naya") Sou is not actively engaged in any other business or occupation (investment-related or otherwise) beyond her capacity as Investment Adviser Representative of Forum Financial Management, LP. Moreover, Ms. Sou does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Ngoc ("Naya") Sou does not receive any additional compensation beyond that received as an Investment Adviser Representative of Forum Financial Management, LP.

Item 6 Supervision

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson
Manager, Advisor Development and Supervision
(630) 376-4367
nhopson@forumfin.com

Allison Tronnes
Chief Compliance Officer
(630) 873-8512
atronnes@forumfin.com