



FORM ADV PART 2B  
BROCHURE SUPPLEMENT

**John Joseph Schultz III**

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**October 6, 2022**

This brochure supplement provides information about John Joseph Schultz III that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about John Joseph Schultz III (CRD # 7180054) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Full Legal Name: John Joseph Schultz III**

Year of Birth: 1979

### Education Background:

- University of California, Los Angeles, BA Business-Economics with Minor in Accounting, 2002
- University of Chicago - Booth School of Business, MBA Marketing, Strategy & Economics, 2013

### Business Experience:

- Forum Financial Management, LP, Investment Adviser Representative, 9/2019 - Present
- Expedia Group, Area Manager, 3/2018 - 1/2020
- Zenpayroll, DBA Gusto, Partner Sales, 11/2016 - 3/2018
- Extended Personal Travel, 8/2016 - 11/2016
- Expedia Group, Partnerships, 6/2014 - 6/2016
- Prophet Brand Strategy, Consultant, 9/2013 - 6/2014

### Designations/Certifications:

- Series 65 - Uniform Investment Adviser, 2019

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. John Joseph Schultz III has no required disclosures under this item.

## Item 4 Other Business Activities

John Joseph Schultz III is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Investment Adviser Representative of Forum Financial Management, LP. Moreover, Mr. Schultz III does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

John Joseph Schultz III does not receive any additional compensation beyond that received as an Investment Adviser Representative of Forum Financial Management, LP.

## Item 6 Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson  
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