



FORM ADV PART 2B  
BROCHURE SUPPLEMENT

**Eric Foster McGinnis AIF,<sup>®</sup> CPFA<sup>®</sup>**

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This brochure supplement provides information about Eric Foster McGinnis that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Eric Foster McGinnis (CRD # 6264467) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Full Legal Name:** Eric Foster McGinnis, AIF, CPFA

**Born:** 1971

### Education Background:

- University of Southern Maine, BA Business Administration, 1995

### Business Experience:

- Forum Financial Management, LP, Investment Adviser Representative, 5/2021 - Present
- Decision Point Financial, Financial Planner/Senior Associate, 11/2018 - 07/2021
- Pacific Asset Management, Investment Advisor, 11/2014 - 11/2018
- Ameriprise Financial Services, Inc., Associate Financial Advisor, 11/2013 - 11/2014
- Visor Benefits, Benefit Consultant, 11/2012 - 11/2013
- American Public Life Insurance Co., Regional Business Director, 8/2007 - 11/2012

### Designations/Certifications:

Eric F. McGinnis has earned the following designation(s)/certification(s) and is in good standing with the granting authority:

- Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>)
- Certified Plan Fiduciary Advisor<sup>®</sup> (CPFA<sup>®</sup>)

Please refer to Part 2B Appendix 1 of the Firm Brochure for definitions of the above designations.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Eric Foster McGinnis has no required disclosures under this item.

## Item 4 Other Business Activities

Eric Foster McGinnis is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Investment Adviser Representative of Forum Financial Management, LP. Moreover, Mr. McGinnis does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Eric Foster McGinnis does not receive any additional compensation beyond that received as an Investment Adviser Representative of Forum Financial Management, LP.

## Item 6 Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson  
Manager, Advisor Development and Supervision  
(630) 376-4367  
[nhopson@forumfin.com](mailto:nhopson@forumfin.com)

Allison Tronnes  
Chief Compliance Officer  
(630) 873-8512  
[atronnes@forumfin.com](mailto:atronnes@forumfin.com)

## Item 7 Part 2B Appendix 1

### **Accredited Investment Fiduciary® (AIF®)**

The AIF designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF Code of Ethics. In order to maintain the AIF designation, the individual must annually renew their affirmation of the AIF Code of Ethics and complete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary360 (fi360) company).

### **Certified Plan Fiduciary Advisor® (CPFA®)**

The CPFA designation demonstrates an individual's knowledge, expertise and commitment to working with retirement plans. Plan advisers who earn their CPFA demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities. To receive the CPFA designation, individuals must successfully pass the CPFA Examination. In order to maintain the CPFA designation, the individual must complete 20 continuing education credits bi-annually. The certification is administered by the National Association of Plan Advisers.