



**Form ADV Part 2B  
Brochure Supplement**

**Jeffrey W. Stark**

**Office Address**

132 Mohawk Street  
Cohoes, NY 12047  
Telephone: (518) 237-3641

**April 2, 2024**

**Item 1 – Cover Page**

This Brochure Supplement provides information about Jeffrey W. Stark that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A Brochure (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy at [www.forumfinancial.com](http://www.forumfinancial.com). The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about Jeffrey W. Stark is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Full Legal Name:** Jeffrey W. Stark

**Individual CRD #:** 5393870

**Born:** 1963

### **Education Background:**

- Sienna College: Bachelor of Science (BS), Computer Science – 1985

### **Business Experience:**

- Stark & D'Aprile CPAs PC: Client Service Associate – 12/2022 to Present
- Forum Financial Management, LP: Investment Adviser Representative – 12/2018 to Present
- Stark & Basila CPAs PC: Client Service Associate – 10/2018 to 11/2022
- Rensselaer Polytechnic Institute: Associate Director, Business Intelligence and Development – 11/2001 to 8/2018

### **Professional Designations, Certifications, and Exams:**

- Uniform Investment Adviser Law Examination (Series 65 Exam), 2019
- Uniform Combined State Law Exam (Series 66 Exam), 2007

Please refer to [Appendix](#) for definitions of the above.

## **Item 3 – Disciplinary Information**

Jeffrey has no reportable legal or disciplinary history.

## **Item 4 – Other Business Activities**

Jeffrey is a Client Service Associate with Stark & D'Aprile CPAs PC, accounting for approximately 90% of his time. Clients of Stark & D'Aprile CPAs PC could also be clients of Forum Financial Management, LP. The compensation received by Jeffrey in this capacity is separate and apart from the fees clients pay Forum for advisory services. Clients should be aware that the receipt of additional compensation by Jeffrey in this outside business activity creates a conflict of interest. However, clients are under no obligation to purchase tax and accounting services through Jeffrey. As a fiduciary, Jeffrey has certain legal obligations, including the obligation to act in the clients' best interests.

Please refer to "Item 10 – Other Financial Industry Activities and Affiliations" of Forum's firm brochure for complete disclosure of the above referenced outside business activities.

## **Item 5 – Additional Compensation**

Jeffrey receives additional compensation as a result of his other business activity disclosed in Item 4 above, but does not otherwise receive any economic benefit for investment advisory or investment-related services beyond his role at Forum. Please also refer to "Item 5 – Fees and Compensation" and "Item 14 – Client Referrals and Other Compensation" of Forum's Firm Brochure for additional disclosures on this topic.

## Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

**Nikki Hopson**  
Advisor Development and Supervision  
(630) 376-4367  
[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

**Allison Tronnes**  
Chief Compliance Officer  
(630) 873-8512  
[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

## Appendix

### Uniform Combined State Law Exam (Series 66 Exam)

The Uniform Combined State Law Exam (Series 66 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 100 scored questions. Candidates have 150 minutes to complete the exam. In order for a candidate to pass the Series 66 exam, the individual must correctly answer at least 73 of the 100 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.

### Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.