



**Form ADV Part 2B
Brochure Supplement**

Mary Patricia Wesche

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Item 1 – Cover Page

This Brochure Supplement provides information about Mary Patricia Wesche that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy of the Firm Brochure at www.forumfinancial.com. The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about Mary Patricia Wesche is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Mary Patricia Wesche

Individual CRD #: 3175592

Born: 1957

Education Background:

- St. Josephs College: Bachelor of Science (BS), Accounting – 1979
- Northwestern University: Master of Science (MS), Management – 1984

Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 1/2023 to Present
- Forum Tax & Accounting Services, LLC: Contractor – 1/2023 to Present
- F.A.M., LLC: Member – 1/2014 to 12/2022
- Forum CPA Group LLC: Partner – 2/2006 to 12/2022
- Forum Financial Management, LP: Partner – 8/2005 to 12/2022
- Forum Tax & Accounting Services, LLC: Partner – 8/2005 to 12/2022
- Forum Administrative Services, Inc.: Vice President – 7/2007 to 12/2019

Professional Designations, Certifications, & Exams:

- Certified Divorce Financial Analyst® (CDFA®)
- CERTIFIED FINANCIAL PLANNER® (CFP®)
- Certified Public Accountant (CPA)
- Personal Financial Specialist (PFS™)

Please refer to [Appendix](#) for definitions of the above.

Item 3 – Disciplinary Information

Mary has no reportable legal or disciplinary history.

Item 4 – Other Business Activities

Mary is a Contractor with Forum Tax & Accounting Services, LLC, which accounts for approximately 10% of her time. She is also an independent insurance agent, which accounts for approximately 5% of her time. Clients of Forum Tax & Accounting Services, LLC or Mary in these business activities could also be clients of Forum Financial Management, LP. The compensation received by Mary in these capacities is separate and apart from the fees clients pay Forum for advisory services. Clients should be aware that the receipt of additional

compensation by Mary in this outside business activity creates a conflict of interest. However, clients are under no obligation to purchase tax and accounting services or insurance products through Mary.

Please refer to "Item 10 – Other Financial Industry Activities and Affiliations" of Forum's Firm Brochure for complete disclosure of the above referenced outside business activities.

Item 5 – Additional Compensation

Mary receives additional compensation as a result of her other business activities disclosed in Item 4 above but does not otherwise receive any economic benefit for investment advisory or investment-related services beyond his role at Forum.

Please also refer to "Item 5 – Fees and Compensation" and "Item 14 – Client Referrals and Other Compensation" of Forum's Firm Brochure for additional disclosures on this topic.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Allison Tronnes

Chief Compliance Officer

(630) 873-8512

atronnes@forumfinancial.com

Nikki Hopson

Advisor Development and Supervision

(630) 376-4367

nhopson@forumfinancial.com

Appendix

Certified Divorce Financial Analyst® (CDFA®)

The Certified Divorce Financial Analyst (CDFA®) is a professional designation awarded by the Institute for Divorce Financial Analysts (IDFA®). Individuals who hold the CDFA® designation have completed a course of study encompassing divorce laws and procedures; marital versus separate property; pensions and retirement plans; options for the marital home; fundamentals of child and spousal support; tax issues related to selling or transferring property; and debt, credit, and bankruptcy. Individuals must meet minimum educational and professional experience, in addition to passing an exam consisting of 150 multiple-choice questions. To assure continuing competency in tax codes, legislative, and other ongoing developments in the field of divorce financial planning, designation holders must report 30 hours of divorce-related continuing education every two years. For more information, refer to IDFA's website at: <https://institutedfa.com/about-cdfa-course/>.

CERTIFIED FINANCIAL PLANNER® (CFP®) Professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER® professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.CFP.net. CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics.

To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor's degree or higher

requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor's or higher degree or completed a financial planning development capstone course.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Certified Public Accountant (CPA)

The Certified Public Accountant (CPA) is a professional designation for licensed accounting professionals. CPAs are licensed and regulated by their state Boards of Accountancy. While state laws and regulations vary, the education, experience, and testing requirements for licensure as a CPA generally include: a bachelor's degree in business administration, finance, or accounting, in addition to having completed 150 hours of education; a minimum of two years of public accounting experience; and successful passage of the Uniform CPA

Examination® (“CPA Exam”). The CPA Exam comprises four sections: auditing and attestation, business environment and concepts, financial accounting and reporting, and regulation.

In order to maintain a CPA designation, states generally require completion of continuing professional education (hourly requirements vary by state). Additionally, all American Institute of Certified Public Accountants (AICPA) members must adhere to a rigorous Code of Professional Conduct, which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA’s Code of Professional Conduct within their state accountancy laws or have created their own. For more information, refer to the AICPA website at: <https://www.aicpa.org/home>.

Personal Financial Specialist (PFS™)

The Personal Financial Specialist (PFS™) is a professional certification for Certified Public Accountants (CPAs) awarded by the American Institute of Certified Public Accountants (AICPA). The PFS™ certification allows CPAs to expand their expertise and offerings to include financial planning and wealth management.

The following requirements for the Personal Financial Specialist (PFS™) must be met:

- Maintain AICPA membership in good standing;
- Hold a valid and unrevoked CPA certificate issued by a state authority; and
- Complete the following education and experience, dependent upon the chosen pathway:
 - For the Standard pathway, earn a minimum of 75 hours of PFP continuing professional development within the five years preceding your PFS™ application.
 - For the Certificate pathway, gain education on the PFP Body of Knowledge in five (5) certificates. Each certificate includes 3-5 online, on-demand modules, each totaling 20-33 hours of CPE credits.
 - For the Experienced pathway, earn a minimum of 105 hours of PFP continuing professional development within the seven years preceding the PFS application.
 - For the Standard and Certificate pathways, within the five years preceding the PFS™ application, complete two years of full-time business or

teaching experience (or 3,000 hours equivalent) in personal financial planning or, for candidates in academia, a qualified credential holder should be a full-time professor and have taught at least four accredited college courses with 50% of their material included in the PFS Body of Knowledge.

- For the Experienced pathway, within the seven years preceding the PFS™ application, complete five years of full-time business experience (or 7,500 hours equivalent) in personal financial planning.
- Pass the relevant PFS™ Exam for the corresponding pathway.

A PFS™ professional is required to adhere to AICPA’s Code of Professional Conduct and must complete 20 hours (or its equivalent) of continuing professional education annually. For more information, refer to the AICPA website at: <https://www.aicpa-cima.com/membership/landing/personal-financial-specialist-pfs-credential>.