

FORM ADV PART 2B
BROCHURE SUPPLEMENT

Jack Grzenia

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This brochure supplement provides information about Jack Grzenia that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8512 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Jack Grzenia (CRD # 6516471) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Full Legal Name: Jack Grzenia

Born: 1991

Educational Background:

- Southern Illinois University Carbondale, BS Animal Science, 2013

Business Experience:

- Forum Financial Management, LP, Investment Advisor Representative, 7/2021 - Present
- Forum Financial Management, LP, CSA, 11/2016 - Present
- Purshe Kaplan Sterling Investments, Registered Representative, 11/2016 - Present
- MP360 Tax Services, LTD., Assistant, 2/2015 - Present
- MP360 Financial Services, Assistant, 2/2015 - Present
- Cetera Investment Advisers LLC, Investment Advisor Representative, 8/2016 - 11/2016
- Cetera Financial Specialists LLC, Registered Representative, 6/2015 - 11/2016

Designation(s)/Certification(s):

Jack Grzenia has earned the following designation(s)/certification(s) and is in good standing with the granting authority:

- Series 65 - Uniform Investment Adviser, 2021

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Jack Grzenia has no required disclosures under this item.

Item 4 Other Business Activities

Jack Grzenia is a Registered Representative with Purshe Kaplan Sterling Investments. Purshe Kaplan Sterling Investments is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Grzenia may recommend securities or insurance products offered by Purshe Kaplan Sterling Investments as part of your investment portfolio. If you purchase these products through Mr. Grzenia, he will receive the customary commissions in his separate capacity as a Registered Representative of Purshe Kaplan Sterling Investments.

Additionally, Mr. Grzenia could be eligible to receive incentive awards such as Purshe Kaplan Sterling Investments may offer. He will also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation will give Mr. Grzenia an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

As stated above, persons providing investment advice to advisory clients on behalf of our firm are registered representatives with Purshe Kaplan Sterling Investments. In their capacity as registered representatives, these persons receive compensation in connection with the purchase and sale of securities or other investment products, including asset-based sales charges, service fees or 12b-1 fees for the sale or holding mutual funds. Compensation earned by these persons in their capacities as registered representatives is separate and in addition to our advisory fees. This practice presents a

conflict of interest because persons providing investment advice to advisory clients on behalf of our firm who are registered representatives have an incentive to recommend investment products based on the compensation received rather than solely based on your needs. Persons providing investment advice to advisory clients on behalf of our firm can select or recommend, and in many instances will select or recommend, mutual fund investments in share classes that pay 12b-1 fees when clients are eligible to purchase share classes of the same funds that do not pay such fees and are less expensive. This presents a conflict of interest. You are under no obligation, contractually or otherwise, to purchase securities products through a person affiliated with our firm.

Jack Grzenia is an assistant of MP360 Financial Services and MP360 Tax Service, LTD. Mr. Grzenia's duties as an assistant of MP360 do not create a conflict of interest to his provision of advisory services through Forum Financial Management, LP.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Grzenia's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Forum Financial Management, LP's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Forum has implemented a Code of Ethics and an internal compliance program that guides each associated person in meeting their fiduciary obligations to clients. Advice provided to clients is directed by Forum's investment philosophy. The firm monitors the advice given to Forum's advisory clients by its advisors in the following ways:

1. an initial review of the asset allocation for a new client as compared to the client's risk tolerance and investment objectives;
2. periodic reviews of a random number of the advisor's client files in order to provide reasonable assurance that the advice provided by the advisor to his or her clients is consistent with the client's stated investment objectives and Forum's policies and procedures, and
3. in-person or telephonic meetings with advisors to review client activity.

Moreover, on at least an annual basis, Forum offers training to each of its advisors to cover investments, new products, and related compliance concerns.

Jack Grzenia is supervised by Faye H. Nybo, Director of Supervision. Ms. Nybo can be reached at (630) 873-8503 or fnybo@forumfin.com.