



**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

Jack Stephen Grzenia

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: www.forumfinancial.com.

This brochure supplement provides information about Jack Stephen Grzenia that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Jack Stephen Grzenia is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Jack Stephen Grzenia

Born: 1991

Education Background:

- Southern Illinois University Carbondale: Bachelor of Science (BS), Animal Science – 2013

Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 7/2021 to Present
- Forum Financial Management, LP: Client Service Associate – 11/2016 to Present
- Purshe Kaplan Sterling Investments, Inc.: Registered Representative – 11/2016 to Present
- MP360 Financial Services: Assistant – 2/2015 to Present
- MP360 Tax Services, Ltd.: Assistant – 2/2015 to Present
- Cetera Investment Advisers LLC: Investment Adviser Representative – 8/2016 to 11/2016
- Cetera Financial Specialists LLC: Registered Representative – 6/2015 to 11/2016

Professional Designations, Certifications, and Exams:

- General Securities Representative Examination (Series 7 Exam), 2015
- Uniform Combined State Law Exam (Series 66 Exam), 2016
- Securities Industry Essentials® (SIE®) Exam, 2018
- Uniform Investment Adviser Law Examination (Series 65 Exam), 2021

Please refer to Part 2B Appendix 1 for definitions of the above.

Item 3 – Disciplinary Information

Mr. Grzenia has no reportable disciplinary history.

Item 4 – Other Business Activities

Mr. Grzenia is a Registered Representative with Purshe Kaplan Sterling Investments, Inc. (PKSI). In this capacity, Mr. Grzenia may recommend securities or insurance products offered by PKSI as part of clients' investment portfolios. If clients purchase these products through Mr. Grzenia, he will receive the customary commissions in his separate capacity as a Registered Representative of PKSI. Additionally, Mr. Grzenia may receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Grzenia an incentive to recommend investment products based on the compensation received.

Mr. Grzenia is also an Assistant at MP360° Tax Services, Ltd. and MP360° Financial Services. These outside business activities do not create a conflict of interest to his provision of advisory services through Forum Financial Management, LP.

Please refer to "Item 10 – Other Financial Industry Activities and Affiliations" of Forum's firm brochure for complete disclosure of the above referenced investment-related activities.

Item 5 – Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Grzenia's receipt of additional compensation as a result of his other business activities.

Also, please refer to "Item 5 – Fees and Compensation" and "Item 14 – Client Referrals and Other Compensation" of Forum's firm brochure for additional disclosures on this topic.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson

Advisor Development and Supervision
(630) 376-4367
nhopson@forumfinancial.com

Allison Tronnes

Chief Compliance Officer
(630) 873-8512
atronnes@forumfinancial.com

Item 7 – Part 2B Appendix 1

General Securities Representative Examination (Series 7 Exam)

The General Securities Representative Examination (Series 7 exam) is an industry exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 125 scored questions. The exam assesses the competency of an entry-level registered representative to perform their job as a general securities representative and measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a general securities representative, including sales of corporate securities, municipal securities, investment company securities, variable annuities, direct participation programs, options and government securities. Candidates must pass the Securities Industry Essentials (SIE) exam and the Series 7 exam to obtain the General Securities Representative registration. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/series7>.

Securities Industry Essentials® (SIE®) Exam

The Securities Industry Essentials® (SIE®) Exam is a exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 75 multiple choice questions. Candidates have 75 minutes to complete the exam. In order for a candidate to pass the SIE® Exam, the individual must correctly answer 70 of the 75 scored questions. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/securities-industry-essentials-exam>.

Uniform Combined State Law Exam (Series 66 Exam)

The Uniform Combined State Law Exam (Series 66 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 100 scored questions. Candidates have 150 minutes to complete the exam. In order for a candidate to pass the Series 66 exam, the individual must correctly answer at least 73 of the 100 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.

Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.