



**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

Christopher John Genzler

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: www.forumfinancial.com.

This brochure supplement provides information about Christopher John Genzler that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Christopher John Genzler is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Christopher John Genzler

Born: 1960

Education Background:

- University of South Florida: Bachelor of Arts (BA), Finance – 1982
- DeVry University, Keller Graduate School of Management: Master of Business Administration (MBA), Finance – 1984

Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 8/2012 to Present
- The Genzler Group: President and Owner – 11/1994 to Present
- Purshe Kaplan Sterling Investments, Inc.: Registered Representative – 5/2012 to 9/2020

Designations and Certifications:

Mr. Genzler has earned the following designation(s) and/or certification(s) and is in good standing with the granting authority:

- Licensed Insurance Agent, 1986
- CERTIFIED FINANCIAL PLANNER™ (CFP®), 1996

Please refer to Part 2B Appendix 1 for definitions of the above designation(s) and/or certifications(s).

Item 3 – Disciplinary Information

Mr. Genzler has no reportable disciplinary history.

Item 4 – Other Business Activities

Mr. Genzler is an independent insurance agent. The receipt of additional compensation may give Mr. Genzler an incentive to recommend investment and/or insurance products based on the compensation received, rather than on a client's investment needs. Please refer to "Item 10 – Other Financial Industry Activities and Affiliations" of Forum's firm brochure for complete disclosure of the above referenced investment-related activities.

Mr. Genzler is President and Owner of The Genzler Group Ltd., a business and marketing consulting firm. The fees charged by The Genzler Group Ltd. for are separate and apart from the fees charged by Forum for advisory services. It is expected that Mr. Genzler, solely incidental to his respective practice as a consultant, may recommend the advisory services of Forum to clients of The Genzler Group Ltd. Neither The Genzler Group Ltd., nor any of its partners, shall receive referral fees from Forum.

Mr. Genzler is President of the Senior Citizens Service Coordinating Council, a senior service provider networking group. Mr. Genzler's duties at Senior Citizens Service Coordinating Council do not create a conflict of interest to his role providing advisory services through Forum.

Item 5 – Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Genzler's receipt of additional compensation as a result of his other business activities.

Also, please refer to "Item 5 – Fees and Compensation" and "Item 14 – Client Referrals and Other Compensation" of Forum's firm brochure for additional disclosures on this topic.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson

Advisor Development and Supervision
(630) 376-4367
nhopson@forumfinancial.com

Allison Tronnes

Chief Compliance Officer
(630) 873-8512
atronnes@forumfinancial.com

Item 7 – Part 2B Appendix 1

CERTIFIED FINANCIAL PLANNER™ (CFP®)

The CERTIFIED FINANCIAL PLANNER™ (CFP®) is a professional certification awarded by the Certified Financial Planner Board of Standards, Inc. (CFP Board). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold this certification. It is recognized for its rigorous education and training requirements, high standard of professional education, stringent code of conduct and standards of practice, and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® certification, an individual must satisfactorily fulfill the following requirements:

- *Education* – The two-part education requirement includes both completing college- or university-level coursework on financial planning through a CFP Board Registered Program and holding a bachelor's degree or higher (in any discipline) from an accredited university. The coursework includes topics on professional conduct and regulation, general principles of financial planning, risk management and income planning, estate planning, psychology of financial planning, and financial plan development.
- *Examination* – Candidates for the CFP® certification must pass the CFP® exam, which tests the individual's ability to apply financial planning knowledge to real-life situations and ensures that the candidate is highly qualified to develop a holistic plan for clients' finances. The exam is a 170-question,

multiple-choice test that consists of two 3-hour sessions over one day. The exam includes stand-alone and scenario-based questions, as well as questions associated with case studies.

- *Experience* – The experience requirement prepares candidates for the CFP® certification to provide personal financial planning to the public without supervision. Individuals may fulfill the experience requirement either before or after taking the exam. Candidates must complete either 6,000 hours of professional experience related to the financial planning process or 4,000 hours of apprenticeship experience that meets additional requirements.
- *Ethics* – The ethics requirement indicates that candidates for the CFP® certification have agreed to adhere to high ethical and professional standards for the practice of financial planning and to act as a fiduciary when providing financial advice to their clients.

Individuals who obtain the CFP® certification must also complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- *Continuing Education* – Individuals must complete 30 hours of continuing education hours every two years, including a two-hour, CFP Board-approved ethics course.
- *Ethics* – Individuals must complete a Renewal Application annually, which includes an agreement to be bound by the CFP Board's "Code of Ethics and Standards of Conduct." These require that the CFP® professional provide financial planning services as a fiduciary and in the best interest of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board's disciplinary process, which could result in suspension or permanent revocation of the CFP® certification. For more information, refer to the CFP Board's website at: <https://www.cfp.net/>.