

FORM ADV PART 2B
BROCHURE SUPPLEMENT

Samuel Robinson Williams

OFFICE ADDRESS:
14321 Winter Breeze Drive
Suite 24
Midlothian, VA 23113

Telephone: 804-387-3234

Forum Financial Management, LP

1900 S. Highland Ave.
Suite 100
Lombard, IL 60148
Telephone: 630-873-8520

August 26, 2021

This brochure supplement provides information about Samuel Robinson Williams that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Samuel Robinson Williams (CRD # 6070713) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Full Legal Name: Samuel Robinson Williams

Born: 1989

Education Background:

- James Madison University - Harrisonburg, VA, BA Finance, 2012

Business Experience:

- Forum Financial Management, LP, Investment Adviser Representative, 8/2021 - Present
- CG Financial Services of Virginia LLC, Financial Advisor, 1/2018 - 6/2021
- DFC Investment and Retirement Strategies, DBA, 1/2018 - 6/2021
- Geneos Wealth Management Inc., Registered Representative, 1/2018 - 2/2020
- Northwestern Mutual Wealth Management Company, Representative, 3/2017 - 1/2018
- Northwestern Mutual Investment Services, LLC, Registered Representative, 9/2012 - 1/2018
- Northwestern Mutual Life Insurance Company, Agent, 6/2012 - 1/2018

Designation(s)/Certification(s):

Samuel Robinson Williams has earned the following designation(s)/certification(s) and is in good standing with the granting authority:

- Series 65 - Uniform Investment Adviser, 2019.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Samuel Robinson Williams has no required disclosures under this item.

Item 4 Other Business Activities

Samuel Robinson Williams is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Investment Adviser Representative of Forum Financial Management, LP. Moreover, Mr. Williams does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Samuel Robinson Williams does not receive any additional compensation beyond that received as an Investment Adviser Representative of Forum Financial Management, LP.

Item 6 Supervision

Forum has implemented a Code of Ethics and an internal compliance program that guides each associated person in meeting their fiduciary obligations to clients. Advice provided to clients is directed by Forum's investment philosophy. The firm monitors the advice given to Forum's advisory clients by its advisors in the following ways:

1. an initial review of the asset allocation for a new client as compared to the client's risk tolerance and investment objectives;
2. periodic reviews of a random number of the advisor's client files in order to provide reasonable assurance that the advice provided by the advisor to his or her clients is consistent with the client's stated investment objectives and Forum's policies and procedures, and
3. in-person or telephonic meetings with advisors to review client activity.

Moreover, on at least an annual basis, Forum offers training to each of its advisors to cover investments, new products, and related compliance concerns.

Samuel Robinson Williams is supervised by Faye H. Nybo, Director of Supervision. Ms. Nybo can be reached at (630) 873-8503 or fnybo@forumfin.com.