

Item 1 – Cover Page



FORM ADV PART 2B BROCHURE SUPPLEMENT

Joseph Anthony Vogenthaler

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: www.forumfinancial.com.

This brochure supplement provides information about Joseph Anthony Vogenthaler that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A Brochure (“firm brochure”). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Joseph Anthony Vogenthaler is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Joseph Anthony Vogenthaler

Individual CRD #: 2186241

Born: 1965

Education Background:

- DePaul University: Bachelor of Science (BS), Finance – 1987

Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 7/2020 to Present
- Our Kitchen to Yours: Consultant – 4/2019 to 12/2023
- Del Mar Union School District: Teacher – 2/2020 to 3/2020
- Encinitas Union School District: Teacher – 2/2020 to 3/2020
- Escondido Union School District: Teacher – 2/2020 to 3/2020
- Solana Beach School District: Teacher – 2/2020 to 3/2020
- Twitch, LLC: Chief Operating Officer – 9/2005 to 2/2019

Professional Designations, Certifications, and Exams:

- Uniform Investment Adviser Law Examination (Series 65 Exam), 2020

Please refer to Part 2B Appendix 1 for definitions of the above.

Item 3 – Disciplinary Information

Mr. Vogenthaler has no reportable legal or disciplinary history.

Item 4 – Other Business Activities

Mr. Vogenthaler is not engaged in any other business or occupation beyond his role at Forum that is investment-related or otherwise poses a conflict of interest to his provision of advisory services through Forum.

Item 5 – Additional Compensation

Mr. Vogenthaler does not receive any additional compensation or economic benefit for other business activities beyond his role at Forum that are investment-related or otherwise pose a conflict of interest to his provision of advisory services through Forum.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief

Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson

Advisor Development and Supervision

(630) 376-4367

nhopson@forumfinancial.com

Allison Tronnes

Chief Compliance Officer

(630) 873-8512

atronnes@forumfinancial.com

Item 7 – Part 2B Appendix 1

Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.