



**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**Mark Jason Schmulen**

**Office Address**

11115 Wickway Drive  
Houston, TX 77024  
Telephone: (713) 724-0035

**August 8, 2023**

The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: [www.forumfinancial.com](http://www.forumfinancial.com).

This brochure supplement provides information about Mark Jason Schmulen that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Mark Jason Schmulen is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Full Legal Name:** Mark Jason Schmulen

**Born:** 1980

### **Education Background:**

- Stanford Graduate School of Business: Master of Science (MS), Management – 2015
- University of Pennsylvania: Bachelor of Arts (BA), International Relations – 2003

### **Business Experience:**

- Real Page, Inc.: Industry Principal and Vice President of RealPage Smart Building – 9/2020 to Present
- Forum Financial Management, LP: Investment Adviser Representative – 3/2017 to Present
- Jelly Capital, LLC: Manager – 6/2015 to Present
- Chirp Systems, Inc.: Chief Executive Officer and Board Director – 10/2018 to 9/2020
- Constant Contact, Inc.: General Manager – 5/2010 to 6/2014

### **Professional Designations, Certifications, and Exams:**

- Uniform Investment Adviser Law Examination (Series 65 Exam), 2017

Please refer to Part 2B Appendix 1 for definitions of the above.

## **Item 3 – Disciplinary Information**

Mr. Schmulen has no reportable disciplinary history.

## **Item 4 – Other Business Activities**

Mr. Schmulen is a Manager at Jelly Capital, LLC, an unaffiliated private investment firm. Clients of Jelly Capital, LLC or Mr. Schmulen in this business activity could also be clients of Forum Financial Management, LP. The fees earned by Mr. Schmulen in this capacity are separate and apart from the fees clients pay Forum for advisory services. Clients should be aware that the receipt of additional compensation by Mr. Schmulen in this outside business activity creates a conflict of interest. However, clients are under no obligation to invest with Jelly Capital, LLC through Mr. Schmulen. As a fiduciary, Mr. Schmulen has certain legal obligations, including the obligation to act in the clients' best interests.

Please refer to "Item 10 – Other Financial Industry Activities and Affiliations" of Forum's firm brochure for complete disclosure of the above referenced outside business activities.

## **Item 5 – Additional Compensation**

Refer to the Other Business Activities section above for disclosures on Mr. Schmulen's receipt of additional compensation as a result of his other business activities.

Also, please refer to "Item 5 – Fees and Compensation" and "Item 14 – Client Referrals and Other Compensation" of Forum's firm brochure for additional disclosures on this topic.

## Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum’s compliance program is overseen by Forum’s Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

**Nikki Hopson**

Advisor Development and Supervision

(630) 376-4367

[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

**Allison Tronnes**

Chief Compliance Officer

(630) 873-8512

[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

## Item 7 – Part 2B Appendix 1

### **Uniform Investment Adviser Law Examination (Series 65 Exam)**

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.