



**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**Martin Glenn Pomrehn**

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: [www.forumfinancial.com](http://www.forumfinancial.com).

This brochure supplement provides information about Martin Glenn Pomrehn that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Martin Glenn Pomrehn is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Full Legal Name:** Martin Glenn Pomrehn

**Born:** 1955

### **Education Background:**

- Illinois State University: Bachelor of Science (BS), Accounting – 1977

### **Business Experience:**

- Forum Financial Management, LP: Investment Adviser Representative – 9/2016 to Present
- MP360° Financial Services: Owner – 11/2012 to Present
- MP360° Tax Services, Ltd.: Managing Partner – 1/1993 to Present
- Purshe Kaplan Sterling Investments, Inc.: Registered Representative – 10/2016 to 12/2020
- Cetera Investment Advisers LLC: Investment Adviser Representative – 6/1995 to 10/2016
- Cetera Financial Specialists LLC: Registered Representative – 11/1988 to 10/2016

### **Professional Designations and Certifications:**

- Certified Public Accountant (CPA), 1979
- CERTIFIED FINANCIAL PLANNER™ (CFP®), 1990
- Registered Life Planner® (RLP ®), 2011

Please refer to Part 2B Appendix 1 for definitions of the above.

## **Item 3 – Disciplinary Information**

Mr. Pomrehn has no reportable disciplinary history.

## **Item 4 – Other Business Activities**

Mr. Pomrehn is the Managing Partner of and a Certified Public Accountant (CPA) with MP360° Tax Services, Ltd., in addition to the Owner of and a licensed insurance agent with MP360° Financial Services. Clients of MP360° Tax Services, Ltd. and MP360° Financial Services may also be clients of Forum Financial Management, LP. The services provided and compensation received by Mr. Pomrehn in these outside business activities are separate and distinct from any fees paid for advisory services provided by Forum. These outside business activities may present a conflict of interest because Mr. Pomrehn may have an incentive to recommend accounting services or insurance products for the purpose of generating fees or commissions rather than solely based on clients' needs.

Please refer to “Item 10 – Other Financial Industry Activities and Affiliations” of Forum’s firm brochure for complete disclosure of the above referenced investment-related activities.

## **Item 5 – Additional Compensation**

Refer to the Other Business Activities section above for disclosures on Mr. Pomrehn's receipt of additional compensation as a result of his other business activities.

Also, please refer to “Item 5 – Fees and Compensation” and “Item 14 – Client Referrals and Other Compensation” of Forum’s firm brochure for additional disclosures on this topic.

## Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum’s compliance program is overseen by Forum’s Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

**Nikki Hopson**  
Advisor Development and Supervision  
(630) 376-4367  
[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

**Allison Tronnes**  
Chief Compliance Officer  
(630) 873-8512  
[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

## Item 7 – Part 2B Appendix 1

### **CERTIFIED FINANCIAL PLANNER™ (CFP®)**

The CERTIFIED FINANCIAL PLANNER™ (CFP®) is a professional certification awarded by the Certified Financial Planner Board of Standards, Inc. (CFP Board). It is recognized for its rigorous education and training requirements, high standard of professional education, stringent code of conduct and standards of practice, and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® certification, an individual must satisfactorily fulfill the following requirements:

- **Education** – The two-part education requirement includes both completing college- or university-level coursework on financial planning through a CFP Board Registered Program and holding a bachelor’s degree or higher (in any discipline) from an accredited university. The coursework includes topics on professional conduct and regulation, general principles of financial planning, risk management and income planning, estate planning, psychology of financial planning, and financial plan development.
- **Examination** – Candidates for the CFP® certification must pass the CFP® exam, which tests the individual’s ability to apply financial planning knowledge to real-life situations and ensures that the candidate is highly qualified to develop a holistic plan for clients’ finances. The exam is a 170-question, multiple-choice test that consists of two 3-hour sessions over one day. The exam includes stand-alone and scenario-based questions, as well as questions associated with case studies.
- **Experience** – The experience requirement prepares candidates for the CFP® certification to provide personal financial planning to the public without supervision. Individuals may fulfill the experience requirement either before or after taking the exam. Candidates must complete either 6,000 hours of

professional experience related to the financial planning process or 4,000 hours of apprenticeship experience that meets additional requirements.

- **Ethics** – The ethics requirement indicates that candidates for the CFP® certification have agreed to adhere to high ethical and professional standards for the practice of financial planning and to act as a fiduciary when providing financial advice to their clients.

Individuals who obtain the CFP® certification must also complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Individuals must complete 30 hours of continuing education hours every two years, including a two-hour, CFP Board-approved ethics course.
- **Ethics** – Individuals must complete a Renewal Application annually, which includes an agreement to be bound by the CFP Board's "Code of Ethics and Standards of Conduct." These require that the CFP® professional provide financial planning services as a fiduciary and in the best interest of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board's disciplinary process, which could result in suspension or permanent revocation of the CFP® certification. For more information, refer to the CFP Board's website at: <https://www.cfp.net/>.

### **Certified Public Accountant (CPA)**

The Certified Public Accountant (CPA) is a professional designation for licensed accounting professionals. CPAs are licensed and regulated by their state Boards of Accountancy. While state laws and regulations vary, the education, experience, and testing requirements for licensure as a CPA generally include: a bachelor's degree in business administration, finance, or accounting, in addition to having completed 150 hours of education; a minimum of two years of public accounting experience; and successful passage of the Uniform CPA Examination® ("CPA Exam"). The CPA Exam comprises four sections: auditing and attestation, business environment and concepts, financial accounting and reporting, and regulation.

In order to maintain a CPA designation, states generally require completion of continuing professional education (hourly requirements vary by state). Additionally, all American Institute of Certified Public Accountants (AICPA) members must adhere to a rigorous Code of Professional Conduct, which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own. For more information, refer to the AICPA website at: <https://www.aicpa.org/home>.

### **Registered Life Planner® (RLP®)**

The Registered Life Planner® (RLP®) is a professional designation awarded by the Kinder Institute of Life Planning. Individuals who hold the RLP® designation have completed three courses: the EVOKE® Life Planning Training, the Seven Stages of Money Maturity® Training, and the Life Planning Mentorship. After earning the RLP® designation, the individual is expected to attend at least eight hours of continuing education every two years. For more information, refer to the Kinder Institute of Life Planning website at: <https://www.kinderinstitute.com/rlp-designation/>.