

FORM ADV PART 2B
BROCHURE SUPPLEMENT

Martin G. Pomrehn

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This brochure supplement provides information about Martin Glenn Pomrehn that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Martin Glenn Pomrehn (CRD # 1907077) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Martin Glenn Pomrehn, CFP

Formal Education After High School

- Illinois State University, BS, Accounting; 1977

Business Background:

- Forum Financial Management, LP, Investment Advisor Representative, 9/2016 - Present
- Martin Pomrehn d/b/a MP360 Financial Services, Owner, 11/2012 - Present
- MP360 Tax Services, Ltd. (Formerly Pomrehn & Co., Ltd.), President, 1/1993 - Present
- Purshe Kaplan Sterling Investments, Registered Representative, 10/2016 - 12/2020
- Cetera Investment Advisers LLC, Investment Advisor Representative, 6/1995 - 9/2016
- Cetera Financial Specialists LLC, Registered Representative, 11/1988 - 9/2016

Designations/Certifications:

Martin Glenn Pomrehn has earned the following designation(s)/certification(s) and is in good standing with the granting authority:

- Series 7 - General Securities Representative, 1995
- Series 6 - Investment Company Products/Variable Contracts Representative Examination, 1989
- Series 63-Uniform Securities Agent, 1991
- Series 65- Uniform Investment Advisor Law Examination; NASAA, 1995
- Certified Financial Planner™ (granted by CFP® Board of Standards), 1990
- Certified Public Accountant (CPA), 1978
- Registered Life Planner® (RLP®), 2011
- Insurance Producer-Life 1988 and LTC 2008

Please refer to Part 2B Appendix 1 for definitions of the above designations/certifications.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Martin Glenn Pomrehn has no required disclosures under this item.

Item 4 Other Business Activities

Martin G. Pomrehn is a Shareholder and Managing Partner and certified public accountant ("CPA") with MP360 Tax Services., Ltd, a certified public accounting firm. Clients of our firm may also be clients of MP360 Tax Services., Ltd. The services provided and compensation received by Mr. Pomrehn and MP360 Tax Services., Ltd for accounting related activities are separate and distinct from any fees paid for advisory services provided by our firm. Mr. Pomrehn allocates approximately 35% of his professional time to this outside business activity.

Martin Pomrehn d/b/a MP360 Financial Services is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Pomrehn for insurance-related activities. This presents a conflict of interest because Mr. Pomrehn may have incentive to recommend insurance products to you

for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Pomrehn's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Forum Financial Management, LP's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Forum has implemented a Code of Ethics and an internal compliance program that guides each associated person in meeting their fiduciary obligations to clients. Advice provided to clients is directed by Forum's investment philosophy. The firm monitors the advice given to Forum's advisory clients by its advisors in the following ways:

- an initial review of the asset allocation for a new client as compared to the client's risk tolerance and investment objectives;
- periodic reviews of a random number of the advisor's client files in order to provide reasonable assurance that the advice provided by the advisor to his or her clients is consistent with the client's stated investment objectives and Forum's policies and procedures, and
- in-person or telephonic meetings with advisors to review client activity.

Moreover, on at least an annual basis, Forum offers training to each of its advisors to cover investments, new products, and related compliance concerns.

Martin G. Pomrehn is supervised by Faye H. Nybo, Director of Supervision. Ms. Nybo can be reached at (630) 873-8503 or fnybo@forumfin.com.

Item 7 Part 2B Appendix 1

The **CERTIFIED FINANCIAL PLANNER™**, (CFP®) and federally registered CFP® (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 63,000 individuals have obtained CFP® certification in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Certified Public Accountant (CPA) - CPA's are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience

levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.

Registered Life Planners® (RLP®) - Registered Life Planners® are graduates of the Kinder Institute RLP® training program. The full program takes most planners approximately two years to complete. The program consists of three steps:

- EVOKE® Life Planning - Applying the EVOKE® Methodology to Client Engagements
- Seven Stages of Money Maturity - A Model for Understanding and Developing Client Relationships and One's Own Relationship to Money
- Registered Life Planner® Mentorship - A 6-month mentorship is the third and final step to becoming a Registered Life Planner®.