



**Form ADV Part 2B  
Brochure Supplement**

**Steven John Minturn**

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**Item 1 – Cover Page**

This Brochure Supplement provides information about Steven John Minturn that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A Brochure (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy at [www.forumfinancial.com](http://www.forumfinancial.com). The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about Steven John Minturn is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Full Legal Name:** Steven John Minturn

**Individual CRD #:** 7451870

**Born:** 1985

### **Education Background:**

- University of Chicago Booth School of Business: Master of Business Administration (MBA), Economics and Finance – 2014
- University of Wisconsin: Bachelor of Business Administration (BBA), Finance and Actuarial Science – 2008

### **Business Experience:**

- Forum Financial Management, LP: Investment Adviser Representative – 10/2021 to Present
- BMO Asset Management EMEA: Quantitative Portfolio Consultant – 5/2019 to 5/2021
- BMO Asset Management US: Quantitative Equity Analyst – 10/2015 to 5/2019
- Deloitte Consulting LLP: Senior Consultant – 9/2014 to 9/2015

### **Professional Designations, Certifications, and Exams:**

- Chartered Financial Analyst (CFA®), 2018

Please refer to [Appendix](#) for definitions of the above.

## **Item 3 – Disciplinary Information**

Steven has no reportable legal or disciplinary history.

## **Item 4 – Other Business Activities**

Steven is not engaged in any other business or occupation beyond his role at Forum that is investment-related or otherwise poses a conflict of interest to his provision of advisory services through Forum.

## **Item 5 – Additional Compensation**

Steven does not receive any additional compensation or economic benefit for investment advisory or investment-related services beyond his role at Forum.

## **Item 6 – Supervision**

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

**Nikki Hopson**

Advisor Development and Supervision  
(630) 376-4367  
[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

**Allison Tronnes**

Chief Compliance Officer  
(630) 873-8512  
[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

## Appendix

### Chartered Financial Analyst (CFA®)

The Chartered Financial Analyst (CFA®) is a professional certification granted by the CFA Institute. It is internationally recognized for its rigorous education and training requirements and its mission to promote and develop a high level of educational, ethical, and professional standards in the investment industry.

To become a CFA® charterholder, an individual must fulfill the following requirements:

- Pass three sequential, six-hour examinations.
- Have at least four years of qualified professional investment experience, a bachelor's degree, or a combination of professional and educational experience totaling four years.
- Join the CFA Institute as a members.
- Commit to abide by and annually reaffirm adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning. The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession. For more information, refer to the CFA Institute website at: <https://www.cfainstitute.org/>.