



**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

Eric Foster McGinnis

Office Address

Post Office Box 667
Gig Harbor, WA 98335
Telephone: (253) 514-1365

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: www.forumfinancial.com.

This brochure supplement provides information about Eric Foster McGinnis that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Eric Foster McGinnis is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Eric Foster McGinnis

Born: 1971

Education Background:

- University of Southern Maine: Bachelor of Arts (BA), Business Administration – 1995

Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 5/2021 to Present
- DecisionPoint Financial: Financial Planner and Senior Associate – 11/2018 to 7/2021
- Pacific Asset Management: Investment Advisor – 11/2014 to 11/2018
- Ameriprise Financial Services, Inc.: Associate Financial Advisor – 11/2013 to 11/2014
- Visor Benefits: Benefit Consultant – 11/2012 to 11/2013

Professional Designations, Certifications, and Exams:

- Accredited Investment Fiduciary® (AIF®), 2019
- Certified Plan Fiduciary Advisor (CPFA®), 2019
- Securities Industry Essentials® (SIE®) Exam, 2014
- Uniform Combined State Law Exam (Series 66 Exam), 2014
- General Securities Representative Examination (Series 7 Exam), 2013

Please refer to Part 2B Appendix 1 for definitions of the above.

Item 3 – Disciplinary Information

Mr. McGinnis has no reportable disciplinary history.

Item 4 – Other Business Activities

Mr. McGinnis is not engaged in any other business or occupation beyond his role at Forum.

Item 5 – Additional Compensation

Mr. McGinnis does not receive any additional compensation beyond his role at Forum.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson
Advisor Development and Supervision
(630) 376-4367
nhopson@forumfinancial.com

Allison Tronnes
Chief Compliance Officer
(630) 873-8512
atronnes@forumfinancial.com

Item 7 – Part 2B Appendix 1

Accredited Investment Fiduciary® (AIF®)

The Accredited Investment Fiduciary® (AIF®) is a professional designation awarded by Fi360, which is accredited by the American National Standards Institute (ANSI) for the AIF® Designation. Individuals who hold the AIF® designation have completed a course of study to assure that those responsible for managing or advising on investor assets have a fundamental understanding of the principles of fiduciary duty, the standards of conduct for acting as a fiduciary, and a process for carrying out fiduciary responsibility. Candidates must complete an approved course of training, pass the AIF® exam, meet experience requirements, and satisfy Code of Ethics and Conduct Standards requirements. For more information, refer to Fi360's website at: <https://www.fi360.com/what-we-do/learning-development/aif-training/aif-designation>.

Certified Plan Fiduciary Advisor (CPFA®)

The Certified Plan Fiduciary Advisor (CPFA®) is a professional designation awarded by the National Association of Plan Advisors (NAPA). Individuals who hold the CPFA® designation have completed the NAPA CPFA® Examination, which aims to demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities. The exam is a proctored, closed-book exam consisting of 70 multiple-choice questions. Individuals must also complete 10 hours of continuing education annually to maintain the CPFA® designation. For more information, refer to the NAPA website at: <https://napacpfa.org/>.

General Securities Representative Examination (Series 7 Exam)

The General Securities Representative Examination (Series 7 exam) is an industry exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 125 scored questions. The exam assesses the competency of an entry-level registered representative to perform their job as a general securities representative and measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a general securities representative, including sales of corporate securities, municipal securities, investment company securities, variable annuities, direct participation programs, options and government securities. Candidates must pass the Securities Industry Essentials (SIE) exam and the Series 7 exam to obtain the General Securities Representative registration. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/series7>.

Securities Industry Essentials® (SIE®) Exam

The Securities Industry Essentials® (SEI®) Exam is a exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 75 multiple choice questions. Candidates have 75 minutes to complete the exam. In order for a candidate to pass the SIE® Exam, the individual must

correctly answer 70 of the 75 scored questions. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/securities-industry-essentials-exam>.

Uniform Combined State Law Exam (Series 66 Exam)

The Uniform Combined State Law Exam (Series 66 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 100 scored questions. Candidates have 150 minutes to complete the exam. In order for a candidate to pass the Series 66 exam, the individual must correctly answer at least 73 of the 100 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.