



**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**A. Andrew Marwede**

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: [www.forumfinancial.com](http://www.forumfinancial.com).

This brochure supplement provides information about A. Andrew Marwede that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about A. Andrew Marwede is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Full Legal Name:** A. Andrew Marwede

**Born:** 1963

### **Education Background:**

- Yale University: Bachelor of Arts (BA), Political Science – 1985
- Northwestern University - Kellogg School of Management: Master of Science (MS), Management – 1991

### **Business Experience:**

- Forum Financial Management, LP: Partner – 8/2012 to Present
- F.A.M., LLC: Member – 1/2014 to Present

### **Designations, Certifications, and Licenses:**

Mr. Marwede has earned the following designation(s), certification(s), and/or license(s) and is in good standing with the granting authority:

- Uniform Securities Agent State Law Examination (Series 63 Exam), 1992
- Uniform Investment Adviser Law Examination (Series 65 Exam), 1996

Please refer to Part 2B Appendix 1 for definitions of the above designation(s), certifications(s), and/or license(s).

## **Item 3 – Disciplinary Information**

Mr. Marwede has no reportable disciplinary history.

## **Item 4 – Other Business Activities**

Mr. Marwede is not engaged in any other business or occupation beyond his role at Forum.

## **Item 5 – Additional Compensation**

Mr. Marwede does not receive any additional compensation beyond his role at Forum.

## **Item 6 – Supervision**

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

**Nikki Hopson**

Advisor Development and Supervision

(630) 376-4367

[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

**Allison Tronnes**

Chief Compliance Officer

(630) 873-8512

[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

## **Item 7 – Part 2B Appendix 1**

### **Uniform Securities Agent State Law Examination (Series 63 Exam)**

The Uniform Securities State Law Examination (Series 63 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 60 scored questions. Candidates have 75 minutes to complete the exam. In order for a candidate to pass the Series 63 exam, the individual must correctly answer at least 43 of the 60 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.

### **Uniform Investment Adviser Law Examination (Series 65 Exam)**

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.