



**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**Lou Ann Lisack**

**Office Address**

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: [www.forumfinancial.com](http://www.forumfinancial.com).

This brochure supplement provides information about Lou Ann Lisack that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Lou Ann Lisack is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Full Legal Name:** Lou Ann Lisack

**Born:** 1958

### **Education Background:**

- South Suburban College: Associate of Arts (AA), Accounting – 1995
- Governors State University: Bachelor of Arts (BA), Accounting – 2006

### **Business Experience:**

- Forum Financial Management, LP: Investment Adviser Representative – 7/2014 to Present
- Tax & Financial Solutions, Inc.: Accountant – 7/2005 to Present

### **Professional Designations, Certifications, and Exams:**

- Uniform Investment Adviser Law Examination (Series 65 Exam), 2014
- Enrolled Agent (EA), 2006

Please refer to Part 2B Appendix 1 for definitions of the above.

## **Item 3 – Disciplinary Information**

Ms. Lisack has no reportable disciplinary history.

## **Item 4 – Other Business Activities**

Ms. Lisack is also an Account with Tax & Financial Solutions, Inc. Clients of Tax & Financial Solutions, Inc. or Ms. Lisack in this business activity could also be clients of Forum Financial Management, LP. The fees earned by Ms. Lisack in this capacity are separate and apart from the fees clients pay Forum for advisory services. Clients should be aware that the receipt of additional compensation by Ms. Lisack in this outside business activity creates a conflict of interest. However, clients are under no obligation to purchase tax and accounting services through Ms. Lisack. As a fiduciary, Ms. Lisack has certain legal obligations, including the obligation to act in the clients' best interests.

Please refer to “Item 10 – Other Financial Industry Activities and Affiliations” of Forum’s firm brochure for complete disclosure of the above referenced outside business activities.

## **Item 5 – Additional Compensation**

Refer to the Other Business Activities section above for disclosures on Ms. Lisack’s receipt of additional compensation as a result of her other business activities.

Also, please refer to “Item 5 – Fees and Compensation” and “Item 14 – Client Referrals and Other Compensation” of Forum’s firm brochure for additional disclosures on this topic.

## Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

**Nikki Hopson**

Advisor Development and Supervision

(630) 376-4367

[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

**Allison Tronnes**

Chief Compliance Officer

(630) 873-8512

[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

## Item 7 – Part 2B Appendix 1

### **Enrolled Agent (EA)**

An Enrolled Agent (EA) is a tax professional authorized by the United States government to represent taxpayers in matters regarding the Internal Revenue Service (IRS). EAs may become credentialed in two different ways: (i) with five years of IRS experience regularly interpreting tax regulations, or (ii) through the successful completion of the three-part Special Enrollment Exam (SEE). EA candidates must also pass a background check before they are granted the credential. For more information, refer to the IRS website at: <https://www.irs.gov/tax-professionals/enrolled-agents/enrolled-agent-information>.

### **Uniform Investment Adviser Law Examination (Series 65 Exam)**

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.