



**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

James Arnold Lapera

Office Address

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: www.forumfinancial.com.

This brochure supplement provides information about James Arnold Lapera that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about James Arnold Lapera is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: James Arnold Laperera

Born: 1969

Education Background:

- University of Illinois Urbana-Champaign: Bachelor of Science (BS), Accounting – 1992

Business Experience:

- Forum Tax & Accounting LLC: Managing Member – 1/2023 to Present
- Forum Financial Management, LP: Investment Adviser Representative – 1/2012 to Present
- Purshe Kaplan Sterling Investments, Inc.: Registered Representative – 2/2011 to Present
- Pinnacle Financial Solutions, PC: Partner – 7/1992 to Present

Designations and Certifications:

Mr. Laperera has earned the following designation(s) and/or certification(s) and is in good standing with the granting authority:

- Certified Public Accountant (CPA), 1995
- Licensed Insurance Agent, 2011

Please refer to Part 2B Appendix 1 for definitions of the above designation(s) and/or certifications(s).

Item 3 – Disciplinary Information

Mr. Laperera has no reportable disciplinary history.

Item 4 – Other Business Activities

Mr. Laperera is a Registered Representative with Purshe Kaplan Sterling Investments, Inc. (PKSI). In this capacity, Mr. Laperera may recommend securities and/or insurance products offered by PKSI as part of clients' investment portfolios. If clients purchase these products through Mr. Laperera, he may receive the customary commissions in his separate capacity at PKSI. Additionally, Mr. Laperera may receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Laperera an incentive to recommend investment products based on the compensation received.

Mr. Laperera is also a Partner and Certified Public Accountant (CPA) with Pinnacle Financial Solutions, PC, a Managing Member of and CPA with Forum Tax & Accounting LLC, and separately licensed as an independent insurance agent. Clients of Mr. Laperera may also be clients of Forum Financial Management, LP. The fees earned by Mr. Laperera in his outside business activities are separate and apart from the fees clients pay Forum Financial Management, LP for advisory services. Mr. Laperera's outside business activities present a conflict of interest because he may have an incentive to recommend accounting and/or insurance services for the purpose of generating fees.

Mr. Laperera spends 80% of his professional time as a Registered Representative, CPA, and insurance agent.

Please refer to "Item 10 Other Financial Industry Activities and Affiliations" of Forum's firm brochure for complete disclosure of the above referenced investment-related activities.

Item 5 – Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Lapera's receipt of additional compensation as a result of his other business activities.

Also, please refer to “Item 5 Fees and Compensation” and “Item 14 Client Referrals and Other Compensation” of Forum’s firm brochure for additional disclosures on this topic.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson

Advisor Development and Supervision
(630) 376-4367
nhopson@forumfinancial.com

Allison Tronnes

Chief Compliance Officer
(630) 873-8512
atronnes@forumfinancial.com

Item 7 – Part 2B Appendix 1

Certified Public Accountant (CPA)

The Certified Public Accountant (CPA) is a professional designation for licensed accounting professionals. CPAs are licensed and regulated by their state Boards of Accountancy. While state laws and regulations vary, the education, experience, and testing requirements for licensure as a CPA generally include: a bachelor's degree in business administration, finance, or accounting, in addition to having completed 150 hours of education; a minimum of two years of public accounting experience; and successful passage of the Uniform CPA Examination® (“CPA Exam”). The CPA Exam comprises four sections: auditing and attestation, business environment and concepts, financial accounting and reporting, and regulation.

In order to maintain a CPA designation, states generally require completion of continuing professional education (hourly requirements vary by state). Additionally, all American Institute of Certified Public Accountants (AICPA) members must adhere to a rigorous Code of Professional Conduct, which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own. For more information, refer to the AICPA website at: <https://www.aicpa.org/home>.