



**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**Nedal Ziad Halawa**

**Office Address**

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Lombard, IL 60148  
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**January 4, 2024**

The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: [www.forumfinancial.com](http://www.forumfinancial.com).

This brochure supplement provides information about Nedal Ziad Halawa that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Nedal Ziad Halawa is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Full Legal Name:** Nedal Ziad Halawa

**Born:** 1978

### **Education Background:**

- DePaul University: Bachelor of Arts (BA), Finance – 2012

### **Business Experience:**

- Pinnacle Wealth Management, LLC: Partner – 1/2020 to Present
- Forum Financial Management, LP: Investment Adviser Representative – 8/2018 to Present
- Purshe Kaplan Sterling Investments, Inc.: Registered Representative – 8/2018 to Present
- Healthcare Services of Illinois, Inc.: Owner – 10/2013 to Present
- Cetera Investment Advisors LLC: Investment Advisor Representative – 1/2012 to 8/2018
- Cetera Financial Specialists LLC: Registered Representative – 1/2012 to 8/2018

### **Professional Designations, Certifications, and Exams:**

- Accredited Investment Fiduciary® (AIF®), 2021
- Uniform Investment Adviser Law Examination (Series 65 Exam), 2002

Please refer to Part 2B Appendix 1 for definitions of the above.

## **Item 3 – Disciplinary Information**

Mr. Halawa has no reportable disciplinary history.

## **Item 4 – Other Business Activities**

Mr. Halawa is a Registered Representative with Purshe Kaplan Sterling Investments, Inc. (“PKSI”), a Partner of Pinnacle Wealth Management, LLC, and is separately licensed as an insurance producer or broker for one or more insurance companies. Clients of Mr. Halawa in these capacities could also be clients of Forum Financial Management, LP. The fees earned by Mr. Halawa in these outside business activities are separate and apart from the fees clients pay Forum for advisory services. Clients should be aware that the receipt of additional compensation by Mr. Halawa in these outside business activities creates a conflict of interest. However, clients are under no obligation to purchase investment services, securities, or insurance products through Mr. Halawa. As a fiduciary, Mr. Halawa has certain legal obligations, including the obligation to act in the clients’ best interests.

Mr. Halawa is also President and Owner of Healthcare Services of Illinois, marketing Medicare Supplement, Advantage Plan C, and Medicare Part D plans. Mr. Halawa’s duties at Healthcare Services of Illinois do not create a conflict of interest to his provision of advisory services through Forum.

Please refer to “Item 10 – Other Financial Industry Activities and Affiliations” of Forum’s firm brochure for complete disclosure of the above referenced outside business activities.

## Item 5 – Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Halawa's receipt of additional compensation as a result of his other business activities.

Also, please refer to “Item 5 – Fees and Compensation” and “Item 14 – Client Referrals and Other Compensation” of Forum’s firm brochure for additional disclosures on this topic.

## Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum’s compliance program is overseen by Forum’s Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

**Nikki Hopson**

Advisor Development and Supervision  
(630) 376-4367  
[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

**Allison Tronnes**

Chief Compliance Officer  
(630) 873-8512  
[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

## Item 7 – Part 2B Appendix 1

### **Accredited Investment Fiduciary® (AIF®)**

The Accredited Investment Fiduciary® (AIF®) is a professional designation awarded by Fi360, which is accredited by the American National Standards Institute (ANSI) for the AIF® Designation. Individuals who hold the AIF® designation have completed a course of study to assure that those responsible for managing or advising on investor assets have a fundamental understanding of the principles of fiduciary duty, the standards of conduct for acting as a fiduciary, and a process for carrying out fiduciary responsibility. Candidates must complete an approved course of training, pass the AIF® exam, meet experience requirements, and satisfy Code of Ethics and Conduct Standards requirements. For more information, refer to Fi360’s website at: <https://www.fi360.com/what-we-do/learning-development/aif-training/aif-designation>.

### **Uniform Investment Adviser Law Examination (Series 65 Exam)**

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.