



**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

Nedal ("Neal") Ziad Halawa

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The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148. Telephone: (630) 873-8520. Website: www.forumfinancial.com.

This brochure supplement provides information about Nedal ("Neal") Ziad Halawa that supplements the Forum Financial Management, LP ("Forum") Form ADV Part 2A Brochure ("firm brochure"). You should have received a copy of that firm brochure. Please contact us at (630) 873-8520 if you did not receive Forum's firm brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Nedal ("Neal") Ziad Halawa is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Nedal ("Neal") Ziad Halawa

Born: 1978

Education Background:

- DePaul University: Bachelor of Arts (BA), Finance – 2012

Business Experience:

- Pinnacle Wealth Management, LLC: Partner – 1/2020 to Present
- Forum Financial Management, LP: Investment Adviser Representative – 8/2018 to Present
- Purshe Kaplan Sterling Investments, Inc.: Registered Representative – 8/2018 to Present
- Healthcare Services of Illinois: Owner – 10/2013 to Present
- Cetera Investment Advisors LLC: Investment Advisor Representative – 1/2012 to 8/2018
- Cetera Financial Specialists LLC: Registered Representative – 1/2012 to 8/2018
- Hollywood Pantry, Inc.: Owner – 6/2011 to 3/2013

Designations, Certifications, and Licenses:

Mr. Halawa has earned the following designation(s), certification(s), and/or license(s) and is in good standing with the granting authority:

- Investment Company and Variable Contracts Products Representative Examination (Series 6 Exam), 1999
- Uniform Securities Agent State Law Examination (Series 63 Exam), 1999
- General Securities Representative Examination (Series 7 Exam), 2002
- Uniform Investment Adviser Law Examination (Series 65 Exam), 2002
- General Securities Principal Examination (Series 24 Exam), 2006
- Accredited Investment Fiduciary® (AIF®), 2021

Please refer to Part 2B Appendix 1 for definitions of the above designation(s), certifications(s), and/or license(s).

Item 3 – Disciplinary Information

Mr. Halawa has no reportable disciplinary history.

Item 4 – Other Business Activities

Nedal Halawa is a Registered Representative with Purshe Kaplan Sterling Investments, Inc. (PKSI). In this capacity, Mr. Halawa may recommend securities or insurance products offered by PKSI as part of clients' investment portfolios. If clients purchase these products through Mr. Halawa, he will receive the customary commissions in his separate capacity as a Registered Representative of PKSI. Additionally, Mr. Halawa may receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Halawa an incentive to recommend investment products based on the compensation received. Mr. Halawa is also a Partner of Pinnacle Wealth Management LLC and is separately licensed as an insurance producer or broker for one or more insurance companies.

Clients of Mr. Halawa's may also be clients of Forum Financial Management, LP. The fees earned by Mr. Halawa in his outside business activities are separate and apart from the fees you pay Forum for advisory services. Mr. Halawa's outside business activities presents a conflict of interest because Mr. Halawa may have an incentive to recommend the purchase of securities and/or insurance products to you for the purpose of generating fees and/or commissions.

Nedal Halawa is also President and Owner of Healthcare Services of Illinois, marketing Medicare Supplement, Advantage Plan C, and Medicare Part D plans. Mr. Halawa's duties at Healthcare Services of Illinois do not create a conflict of interest to his provision of advisory services through Forum.

Mr. Halawa allocates approximately 50% of his professional time to these outside business activities.

Please refer to "Item 10 Other Financial Industry Activities and Affiliations" of Forum's firm brochure for complete disclosure of the above referenced investment-related activities.

Item 5 – Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Halawa's receipt of additional compensation as a result of her other business activities.

Also, please refer to "Item 5 Fees and Compensation" and "Item 14 Client Referrals and Other Compensation" of Forum's firm brochure for additional disclosures on this topic.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws.

Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Nikki Hopson

Advisor Development and Supervision
(630) 376-4367
nhopson@forumfinancial.com

Allison Tronnes

Chief Compliance Officer
(630) 873-8512
atronnes@forumfinancial.com

Item 7 – Part 2B Appendix 1

Accredited Investment Fiduciary® (AIF®)

The Accredited Investment Fiduciary® (AIF®) is a professional certification awarded by the Cannon Financial Institute. Individuals who hold the AIF® designation have completed a course of study to assure

that those responsible for managing or advising on investor assets have a fundamental understanding of the principles of fiduciary duty, the standards of conduct for acting as a fiduciary, and a process for carrying out fiduciary responsibility. Candidates must complete an approved course of training, pass the AIF® exam, meet experience requirements, satisfy Code of Ethics and Conduct Standards requirements, and be a member of the Cannon Financial Institute. For more information, refer to the Cannon Financial Institute's website at: <https://www.cannonfinancial.com/whatwedo/designations/aif>.

General Securities Principal Examination (Series 24 Exam)

The General Securities Principal Examination (Series 24 exam) is an industry exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 150 scored questions. The exam assesses the competency of an entry-level principal to perform their job as a principal dependent on their corequisite registrations. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a principal, including the rules and statutory provisions applicable to the supervisory management of a general securities broker-dealer. In addition to the Series 24 exam, candidates must pass the Securities Industry Essentials (SIE) Exam and a representative-level qualification exam, or the Supervisory Analysts Exam (Series 16) exam, to hold an appropriate principal registration. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/series24>.

General Securities Representative Examination (Series 7 Exam)

The General Securities Representative Examination (Series 7 exam) is an industry exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 125 scored questions. The exam assesses the competency of an entry-level registered representative to perform their job as a general securities representative and measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a general securities representative, including sales of corporate securities, municipal securities, investment company securities, variable annuities, direct participation programs, options and government securities. Candidates must pass the Securities Industry Essentials (SIE) exam and the Series 7 exam to obtain the General Securities Representative registration. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/series7>.

Investment Company and Variable Contracts Products Representative Examination (Series 6 Exam)

The Investment Company and Variable Contracts Products Representative Examination (Series 6 exam) is an industry exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 50 scored questions. The exam assesses the competency of an entry-level representative to perform their job as an investment company and variable contracts products representative. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of an investment company and variable contract products representative, including sales of mutual funds and variable annuities. Candidates must pass the Securities Industry Essentials (SIE) exam and the Series 6 exam to obtain the Investment Company and Variable Contracts Products registration. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/series6>.

Uniform Securities Agent State Law Examination (Series 63 Exam)

The Uniform Securities State Law Examination (Series 63 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 60 scored questions. Candidates have 75 minutes to complete the exam.

In order for a candidate to pass the Series 63 exam, the individual must correctly answer at least 43 of the 60 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.

Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.